### 1G. HUD 2880

#### Applicant/Recipient Disclosure/Update Report - Form 2880 U.S. Department of Housing and Urban Development OMB Approval No. 2510-0011 (exp.11/30/2018)

#### **Applicant/Recipient Information**

#### 1. Applicant/Recipient Name, Address, and Phone

| Agency Legal Name:                                 | United Neighborhood Centers of Northeastern Pennsylvania |
|--|--|
| Prefix:  | Mr.  |
| First Name:  | Michael  |
| Middle Name:                                       | J.   |
| Last Name:   | Hanley   |
| Suffix:  |  |
| Title:   | Chief Executive Officer                                  |
| Organizational Affiliation:                        | United Neighborhood Centers of Northeastern Pennsylvania |
| Telephone Number:                                  | (570) 346-0759   |
| Extension:   | 103  |
| Email:   | mhanley@uncnepa.org                                      |
| City:  | Throop   |
| County:  | Lackawanna   |
| State:   | Pennsylvania   |
| Country:   | United States  |
| Zip/Postal Code:                                   | 18512  |
|  |  |
| 2. Employer ID Number (EIN):                       | 24-0795389   |
| 3. HUD Program:                                    | Continuum of Care Program                                |
| 4. Amount of HUD Assistance<br>Requested/Received: | \$59,556.00  |
| sted amounts will be automatically entere          | ed within applications)                                  |

(Requested amounts will be automatically entered within applications)

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#### 5. State the name and location (street address, city and state) of the project or activity: Homeless Management Information Systems (HMIS) 777 Keystone Industrial Park Rd. Throop Pennsylvania

Refer to project name, addresses and CoC Project Identifying Number (PIN) entered into the attached project application.

#### Part I Threshold Determinations

1. Are you applying for assistance for a Yes specific project or activity? (For further information, see 24 CFR Sec. 4.3).

Have you received or do you expect to Yes receive assistance within the jurisdiction of the Department (HUD), involving the project or activity in this application, in excess of \$200,000 during this fiscal year (Oct. 1 - Sep. 30)? For further information, see 24 CFR Sec. 4.9.

#### Part II Other Government Assistance Provided or Requested/Expected Sources and Use of Funds

Such assistance includes, but is not limited to, any grant, loan, subsidy, guarantee, insurance, payment, credit, or tax benefit.

| Department/Local Agency Name and Address                        | Type of Assistance                                  | Amount<br>Requested /<br>Provided | Expected Uses of the Funds   |
|---|---|-----------------------------------|--|
| UNC Community Services, 410 Olive Street,<br>Scranton, PA 18509 | UNC Permanent Supportive Housing<br>#1              | \$306,073.00                      | Housing, supportive services,<br>operating and administrative costs for<br>housing people experiencing<br>homelessness |
| UNC Community Services, 410 Olive Street,<br>Scranton, PA 18509 | UNC Permanent Supportive Housing<br>for Families #1 | 438980.0                          | Housing, supportive services,<br>operating and administrative costs for<br>housing people experiencing<br>homelessness |
| UNC Community Services, 410 Olive Street,<br>Scranton, PA 18509 | UNC Rapid Rehousing for Families                    |                                   | Housing, supportive services,<br>operating and administrative costs for<br>housing people experiencing<br>homelessness |
| UNC Community Services, 410 Olive Street,<br>Scranton, PA 18509 | CoC Planning  | \$77,509.00                       | Costs related to CoC planning activities   |
| UNC Community Services, 410 Olive Street,<br>Scranton, PA 18509 | HMIS Grant  | \$59,556.00                       | Management, training, maintenance,<br>data entry and admin costs related to<br>Homeless Management Info Systems        |

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#### Part III Interested Parties

You must disclose:

1. All developers, contractors, or consultants involved in the application for the assistance or in the planning, development, or implementation of the project or activity and

2. any other person who has a financial interest in the project or activity for which the

assistance is sought that exceeds \$50,000 or 10 percent of the assistance (whichever is lower).

| Alphabetical list of all persons with a<br>reportable financial interest in the<br>project or activity<br>(For individuals, give the last name<br>first) | Social Security No.<br>or Employee ID No. | Type of<br>Participation | Financial Interest<br>in Project/Activity<br>(\$) | Financial Interest<br>in Project/Activity<br>(%) |
|--|---|--------------------------|---|--|
| NA   | 0   | NA                       | \$0.00  | 0%   |
|  |   |                          |   |  |
|  |   |                          |   |  |
|  |   |                          |   |  |
|  |   |                          |   |  |

#### Certification

Warning: If you knowingly make a false statement on this form, you may be subject to civil or criminal penalties under Section 1001 of Title 18 of the United States Code. In addition, any person who knowingly and materially violates any required disclosures of information, including intentional nondisclosure, is subject to civil money penalty not to exceed \$10,000 for each violation.

I certify that this information is true and complete.

Name / Title of Authorized Official: Michael Hanley, Chief Executive Officer

Signature of Authorized Official: Considered signed upon submission in e-snaps.

Date Signed: 08/16/2017

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### 1H. HUD 50070

### HUD 50070 Certification for a Drug Free Workplace

Applicant Name: United Neighborhood Centers of Northeastern Pennsylvania

#### Program/Activity Receiving Federal Grant CoC Program Funding:

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

|    | I certify that the above named Applicant will or will continue to provide a drug-free workplace by:   |    |  |
|----|---|----|--|
| a. | Publishing a statement notifying employees that the unlawful<br>manufacture, distribution, dispensing, possession, or use of a<br>controlled substance is prohibited in the Applicant's workplace<br>and specifying the actions that will be taken against employees<br>for violation of such prohibition.  | e. | Notifying the agency in writing, within ten calendar days after<br>receiving notice under subparagraph d.(2) from an employee or<br>otherwise receiving actual notice of such conviction. Employers<br>of convicted employees must provide notice, including position<br>title, to every grant officer or other designee on whose grant<br>activity the convicted employee was working, unless the<br>Federalagency has designated a central point for the receipt of<br>such notices. Notice shall include the identification number(s)<br>of each affected grant;  |
| b. | Establishing an on-going drug-free awareness program to<br>inform employees<br>(1) The dangers of drug abuse in the workplace<br>(2) The Applicant's policy of maintaining a drug-free workplace;<br>(3) Any available drug counseling, rehabilitation, and employee<br>assistance programs; and<br>(4) The penalties that may be imposed upon employees for drug<br>abuse violations occurring in the workplace. | f. | Taking one of the following actions, within 30 calendar days of<br>receiving notice under subparagraph d.(2), with respect to any<br>employee who is so convicted<br>(1) Taking appropriate personnel action against such an<br>employee, up to and including termination, consistent with the<br>requirements of the Rehabilitation Act of 1973, as amended; or<br>(2) Requiring such employee to participate satisfactorily in a<br>drug abuse assistance or rehabilitation program approved for<br>such purposes by a Federal, State, or local health, law<br>enforcement, or other appropriate agency; |
| c. | Making it a requirement that each employee to be engaged in<br>the performance of the grant be given a copy of the statement<br>required by paragraph a.;   | g. | Making a good faith effort to continue to maintain a drugfree workplace through implementation of paragraphs a. thru f.  |
| d. | Notifying the employee in the statement required by paragraph<br>a. that, as a condition of employment under the grant, the<br>employee will<br>(1) Abide by the terms of the statement; and<br>(2) Notify the employer in writing of his or her conviction for a<br>violation of a criminal drug statute occurring in the workplace<br>no later than five calendar days after such conviction;                   |    |  |

#### Sites for Work Performance.

The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding.) Workplaces, including addresses, entered in the attached project application. Refer to addresses entered into the attached project application.

| I hereby certify that all the information stated herein, as well as any information provided in |        |            |
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## the accompaniment herewith, is true and accurate.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

### **Authorized Representative**

| Prefix:                                     | Mr.   |
|---|---|
| First Name:                                 | Michael                                       |
| Middle Name                                 | J.  |
| Last Name:                                  | Hanley  |
| Suffix:                                     |   |
| Title:                                      | Chief Executive Officer                       |
| Telephone Number:<br>(Format: 123-456-7890) | (570) 346-0759                                |
| Fax Number:<br>(Format: 123-456-7890)       | (570) 207-4242                                |
| Email:                                      | mhanley@uncnepa.org                           |
| Signature of Authorized Representative:     | Considered signed upon submission in e-snaps. |
| Date Signed:                                | 08/18/2017                                    |

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### **CERTIFICATION REGARDING LOBBYING**

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file

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# the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

| I hereby certify that all the information stated<br>herein, as well as any information provided in<br>the accompaniment herewith, is true and | Х |
|---|---|
| accurate:   |   |

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

| Applicant's Organization:            | United Neighborhood Centers of Northeastern<br>Pennsylvania |
|--------------------------------------|---|
| Name / Title of Authorized Official: | Michael Hanley, Chief Executive Officer                     |
| Signature of Authorized Official:    | Considered signed upon submission in e-snaps.               |
| Date Signed:                         | 08/18/2017  |

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## 1J. SF-LLL

#### DISCLOSURE OF LOBBYING ACTIVITIES Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352. Approved by OMB0348-0046

HUD requires a new SF-LLL submitted with each annual CoC competition and completing this screen fulfills this requirement.

Answer "Yes" if your organization is engaged in lobbying associated with the CoC Program and answer the questions as they appear next on this screen. The requirement related to lobbying as explained in the SF-LLL instructions states: "The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action."

Answer "No" if your organization is NOT engaged in lobbying.

| Does the recipient or subrecipient of this CoC<br>grant participate in federal lobbying activities<br>(lobbying a federal administration or<br>congress) in connection with the CoC<br>Program? | No   |
|---|--|
| Legal Name:   | United Neighborhood Centers of Northeastern Pennsylvania |
| Street 1:   | 777 Keystone Industrial Park Rd.                         |
| Street 2:   |  |
| City:   | Throop   |
| County:   | Lackawanna   |
| State:  | Pennsylvania   |
| Country:  | United States  |
| Zip / Postal Code:  | 18512  |

11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

| I certify that this information is true and | X      |            |
|---|--------|------------|
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| complete.                                   |   |
|---|---|
| Authorized Representative                   |   |
| Prefix:                                     | Mr.   |
| First Name:                                 | Michael                                       |
| Middle Name:                                | J.  |
| Last Name:                                  | Hanley  |
| Suffix:                                     |   |
| Title:                                      | Chief Executive Officer                       |
| Telephone Number:<br>(Format: 123-456-7890) | (570) 346-0759                                |
| Fax Number:<br>(Format: 123-456-7890)       | (570) 207-4242                                |
| Email:                                      | mhanley@uncnepa.org                           |
| Signature of Authorized Official:           | Considered signed upon submission in e-snaps. |
| Date Signed:                                | 08/18/2017                                    |

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